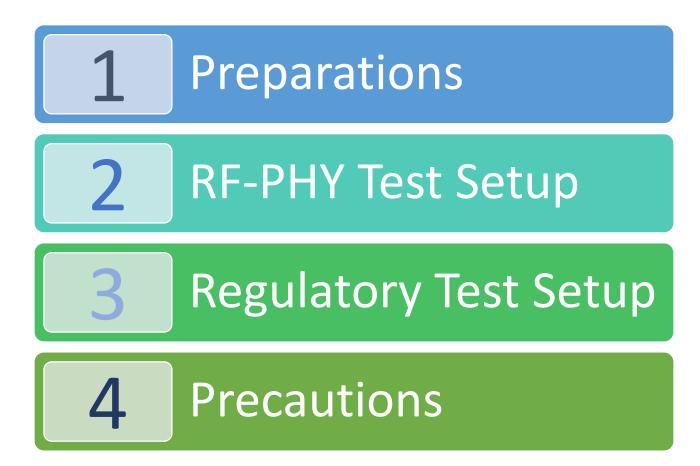
#### How to perform BLE RF-PHY and telecommunication regulatory tests on Apollo-based wireless products

Ambiq Micro, Inc.

December, 2018

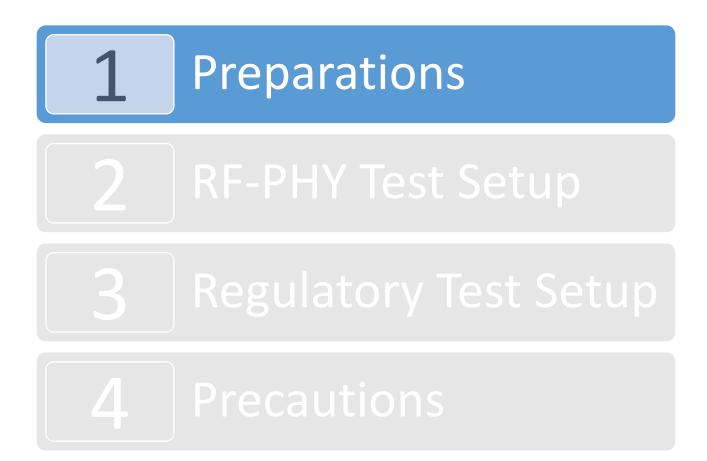


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#### **Preparation before Testing**

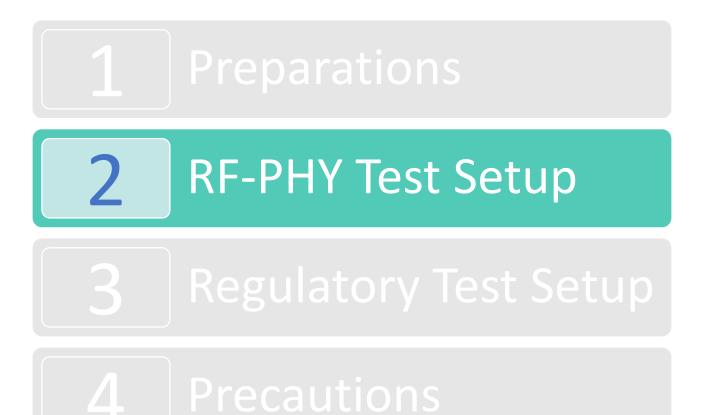
- Lead the VDD, GND, SWD interface, 2-wire UART test interface and RF port out from EUT board.
- Burn test program (test\_bridge.bin) over J-link to make MCU enter into Direct Test Mode (Frequency hopping off, fixed frequency).
- The general J-Flash programming parameters shall be set as follows:

МСИ Туре	Target Device Type	Debug Interface	Speed	Burning Start Address
Apollo2-Blue	AMAPH1KK-KBR	SWD	1000	0x0000000
Apollo3-Blue	AMAPH1KK-KBR	SWD	1000	0x0000 <mark>C</mark> 000

- Connect 2-wire UART test interface of EUT and USB interface of PC or BLE tester with one USB-to-Serial adapter (driver must be installed at first).
  - Note: Apollo series MCU family has two power supply schemes: 3.3V or 1.8V. The interface level of USB-to-Serial adapter must be compatible with EUT power supply.
- Connect antenna port of EUT to the tester via a 50Ω connector by using an RF coaxial cable or soldering a pig-tail from feed point pad on PCBA.



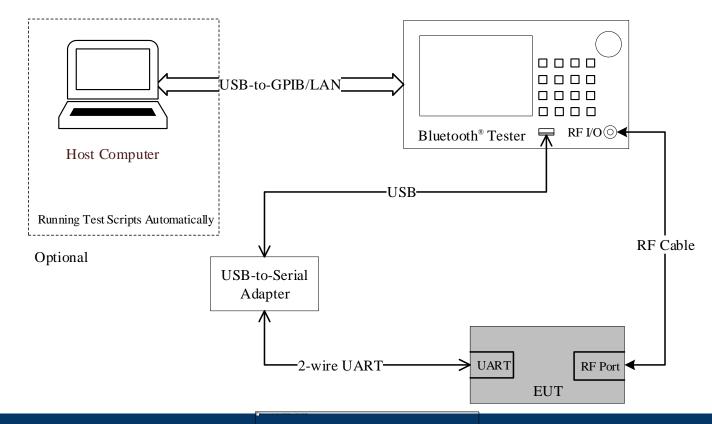
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#### **BLE RF-PHY Test Setup 1**

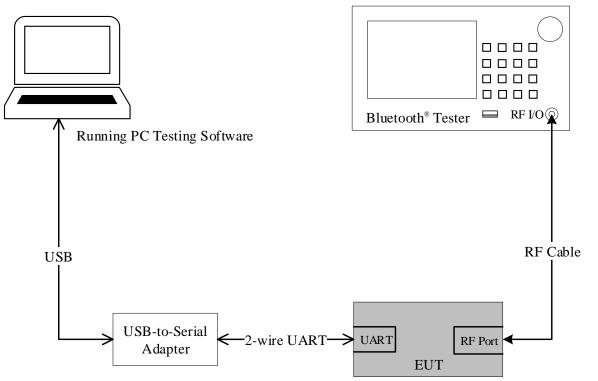
- There are two main test methods: Bluetooth signaling and non-signaling. And two common models of Bluetooth testers: R&S CMW series and Anritsu MT8852B.
- For Bluetooth signaling test method, RF testing commands are generated by tester automatically to control EUT and the test setup is shown as follows:





#### **BLE RF-PHY Test Setup 2**

• For non-signaling test method, RF testing commands are sent by PC software manually to control EUT and the test setup is shown as follows:



- There are two kinds of commonly used PC testing software: SSCOM tool and BLE Connector tool .
- Only R&S CMW series testers can support Bluetooth non-signaling test method.



### **HCI Testing Commands**

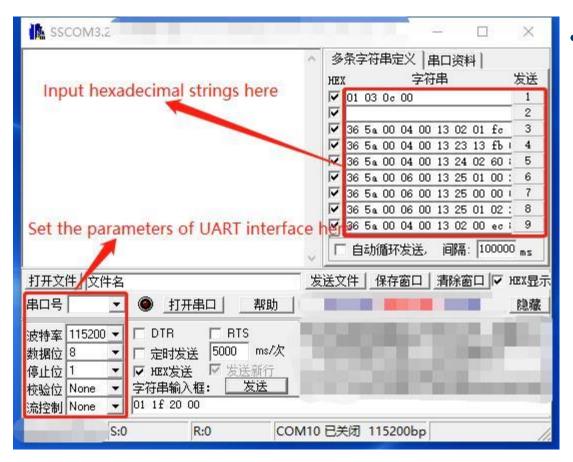
• For both of Apollo2-Blue and Apollo3-Blue, HCI testing commands used in RF-PHY non-signaling test mode are defined as follows:

Packaged HCI Commands	SSCOM Strings	Description
HCI_RESET	01 03 0C 00	Reset EUT before testing
	01 1E 20 03 XX 25 00	'00': sending LE test packets with PRBS9 in payload
HCI_LE_TRANSMITTER_TEST	01 1E 20 03 XX 25 01	'01': sending LE test packets with repeated '11110000' sequence in payload
	01 1E 20 03 XX 25 02	'02': sending LE test packets with repeated '10101010' sequence in payload
HCI_LE_RECEIVER_TEST	01 1D 20 01 <mark>XX</mark>	Set EUT in direct RX mode
HCI_LE_TEST_END	01 1F 20 00	End current test and be ready for next one

- 'XX' in all transmitter and receiver testing commands above means channel to be tested (within range: 0x00~0x27).
- Note that If each command processed successfully, the last octet of LE\_STATUS packet returned by EUT will be 0x00.



#### PC Testing Software – SSCOM



- The UART test Interface characteristics of Bluetooth tester or PC testing software shall be set to use following parameters:
  - Baud rate: 115200
  - Number of data bits: 8
  - Number of stop bits: 1
  - No parity
  - No flow control



#### **PC Testing Software – BLE Connector**

9304 BLE (	Connector 5	5.0.13							- <b>-</b> X
File Comr	nunication Pi	ipe Options	s Help				Port=COM10=Open BLE_CONNECT_PIPE_IN_1=Closed	Chip Rev=RevB	ø
Sandbox								HCI_EM_9304_TRANS	
HCI RESET								HCLLE_TRANSMITTE	
HCI_LE_TRANSP	MITTER_TEST								
Command	Log								
								-	
Tine 11:30:35.921	Sent			Received (Initialization completed)				-1	
11:31:52.961	HCL RESET			(maanzadon completed)					
11:31:53.048				HCI_CC_RESET					
	HCI_LE_TRANSMI OpCode=0x201e	ITTER_TEST(		HCI_CC_LE_TRANSMITTE Event_Code=0x0e,	ER_TEST(				○ ACI Cnds
1	Parameter_Total_ TX_Channel=0x0	e, _Length=0x03,		Event_Code=UxUe, Parameter_Total_Length Num_HCI_Command_Pa	=0x04,			● HCI Cmds	-
11:34:13.312	Length of Test	Data=0x25.		Command_Opcode=0x20	ickets=0x05, 01e,			Transmitter	×
1	Packet_Payload= Packet time=11:3	=0x09,		Status=0x00, Packet time=11:34:13.36					
	)			)				Send Re:	et Export
Rx Parame	eter Detail			raw	Tx Parameter Deta	ail			rav 🗌
Paran	neter	Value	Info		Parameter	Value		Info	^
Event_Code			1 8		OpCode	0x201e	2 B		
Parameter_Tota			18		Parameter_Total_Length	0x03	1 8		
Num_HCI_Com			18		TX_Channel	6x66	1 B; N = (F ?2402) / 2		
Command_Opco Status	ide		28		Length_of_Test_Data	0x25	Range: 0x00 ?0x27. Frequenc	cy Range : 2402 MHz	to 2480 MHz
Status		0,00	0x00: Command succeeded				0x00-0xFF Length in bytes o	of payload data in e	ach packet
			0x01-0xFF: Command failed. See "Error Codes" in Volume 2, Part D of the Bluetooth		Packet_Payload	0x09	1 B; 0x00: PRBS9 sequence	111111110000011110	1? (in
			core specification.				transmission order)	[Vol 6] Part F, Sec	
							0x01: Repeated `111100	000' (in transmissio	n order)
1							sequence as described in [Vol 6] Part	F, Section 4.1.5	
							0x02: Repeated 101010	010' (in transmissio	n order)
							i a c		, ,
									,

1		
TUE	Parameter	Datail
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Parameter	Value	
TX_Channel	Channel for testing	
Length_of_Test_Data	0x25 (37 octets)	T
Packet_Payload	As specific	

Parameter	Value	Info
Parameter_Total_Length	0x03	1 B
TX_Channel	00X00	1 B; N = (F ?2402) / 2 Range: 0x00 ?0x27. Frequency Range : 2402 MHz to 2480 MHz
Length_of_Test_Data	0x25	1 B; 0x00-0xFF Length in bytes of payload data in each packet
Packet_Payload	0x09	1 B; 0x00: PRBS9 sequence `1111111100000111101? (in transmission order) as described in [Vol 6] Part F, Section 4.1.5 0x01: Repeated `1110000' (in transmission order) sequence as described in [Vol 6] Part F, Section 4.1.5 0x02: Repeated `10101010' (in transmission order) sequence as described in [Vol 6] Part F, Section 4.1.5



#### **Transmitter Tests (TRM-LE)**

No.	Test Case	Requirement	Channels for Testing
1	Output Power	$-20 \le P_{AVG} \le +10 \text{ dBm}$ $P_{PEAK} - P_{AVG} \le 3 \text{ dB}$	Ch0, 12, 19, 39
2	In-band emissions	P <sub>TX</sub> ≤ -20 dBm for ( $f_{TX} \pm 2$ MHz); P <sub>TX</sub> ≤ -30 dBm for ( $f_{TX} \pm n$ MHz]); where $n \ge 3$	Ch0, 2, 12, 19, 37,39
3	Modulation Characteristics	225 kHz $\leq \Delta f1avg \leq 275$ kHz 99.9% $\Delta f2max > 185$ kHz $\Delta f2avg / \Delta f1avg \geq 0.8$	Ch0, 12, 19, 39
4	Carrier frequency offset and drift	Freq Offset (Accuracy) $\leq \pm 150 \text{ kHz}$ Freq Drift $\leq \pm 50 \text{ kHz}$ Initial frequency drift $\leq \pm 23 \text{ kHz}$ Maximum drift rate $\leq 20 \text{ kHz}/50 \mu \text{s}$	Ch0, 12, 19, 39



#### **Receiver Tests (RCV-LE)**

No.	Test Case	Requirement	Channels for Testing
1	Receiver sensitivity	PER ≤ 30.8% when input power level = - 70 dBm in dirty TX mode	Ch0, 12, 19, 39
2	Maximum input signal level	PER $\leq$ 30.8% when input power level = - 10 dBm	Ch0, 12, 19, 39
3	PER Report Integrity	50% ≤ PER ≤ 65.4% when input power level = -30 dBm and every test packet has an intentionally corrupted CRC value	Ch12, 19
4	Blocking Performance	See RF-PHY.TS.4.2.2 for details	Ch12
5	C/I and Receiver Selectivity Performance	See RF-PHY.TS.4.2.2 for details	Ch0, 2, 12, 19, 37,39
6	Intermodulation Performance	See RF-PHY.TS.4.2.2 for details	Ch0, 12, 19, 39



#### **Declarations in RCV-LE**

• Due to different receiver design inside chip, below two values shall be declared to test facility by manufacturer when performing receiver tests:

No.	Identifier	Channel	Apollo2-Blue	Apollo3-Blue	Unit
		Low	78	-4	MHz
	In-band image frequency for C/I and receiver selectivity test	Middle			
		High			
		Low	3	5	integer
2	Value n for intermodulation test	Middle			
		High			



#### **Tips for Tester Model Selection**

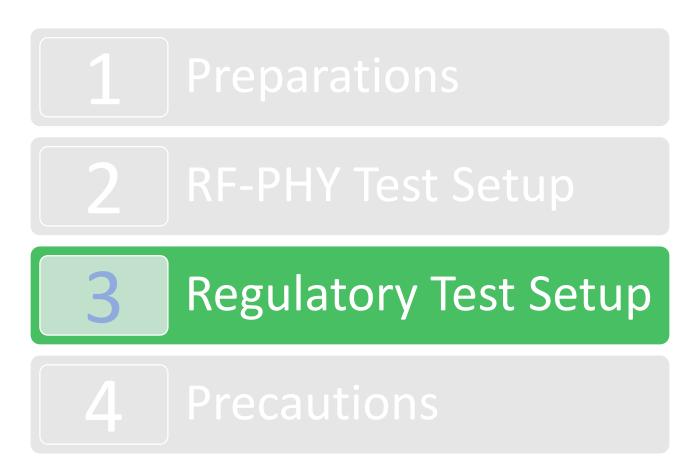
- Generally, R&S CMW series Bluetooth testers, such as CMW270/500, are recommended for R&D use since they could be operated more flexibly.
- While Anritsu MT8852B series are more suitable for production tests since they can run testing scripts and generate test results automatically.







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#### **Overview**

- Telecommunication regulatory tests indicate mandatory regional radio type approval for where those radio products intend to be sold.
- The primary approval standards include SRRC, FCC and CE corresponding to marketplace in China, North America and Europe respectively.
- Some test cases in FCC and CE certification require radiated measurement in SAR or FAR similar as EMC tests, in this case conducted measurement may be used instead for reference.

# SRRC FC (E



#### **Applicable Standards**

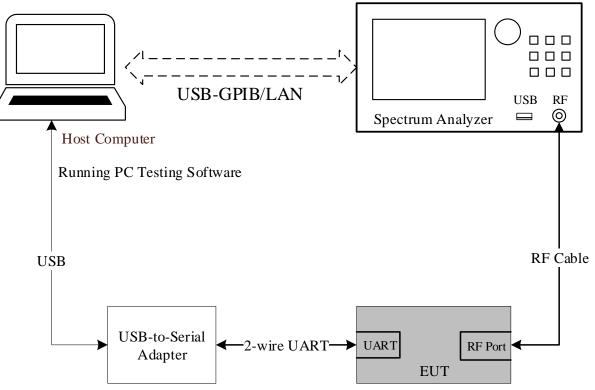
• All telecommunication regulatory tests shall follow these standards listed as below respectively:

Category	Standard Code	Document Title		
SRRC	MIIT regulation [2002]353	微功率(短距离)无线电发射设备技术要求		
	47 CFR Part 15 Subpart C	Miscellaneous Wireless Communication Services		
FCC	ANSI C63.10-2013	American National Standard for Testing Unlicensed Wireless Devices		
	ETSI EN 300 328 V2.1.1	Wideband transmission systems; Data transmission equipment operating in the 2.4 GHz ISM band and using wideband modulation techniques		
CE	ETSI EN 300 440 V2.1.1	Short Range Devices (SRD); Radio equipment to be used in the 1 GHz to 40 GHz frequency range		
	ETSI EN 301 489-17 V2.1.1	Electromagnetic compatibility and Radio spectrum Matters (ERM); ElectroMagnetic Compatibility (EMC) standard for radio equipment		



#### **Regulatory Test Setup**

- The regulatory test configuration is similar as BLE RF-PHY non-signaling test, the only difference is spectrum analyzer used instead of Bluetooth tester.
- All RF testing commands are sent by upper host computer to control EUT and the brief test setup is shown as follows:





#### **RF Testing Commands**

- As provided in ANSI C63.10, the EUT shall be set to operate in the worst case transmission situation i.e. continuous transmit mode with 100% duty cycle no matter carrier wave or modulated data during testing.
- For Apollo3-Blue, RF testing commands to be used in regulatory tests are defined as follows:

Packaged HCI Commands	SSCOM Strings	Description
HCI_RESET	01 03 0C 00	Reset EUT before testing
HCI LE TRANSMITTER TEST	01 1E 20 03 XX 25 08	'08': Set EUT in continuous carrier wave mode at center frequency
HCI_LE_TRANSMITTER_TEST	01 1E 20 03 XX 25 09	'09': Set EUT in continuous modulation transmit mode with duty cycle = 100%
HCI_LE_RECEIVER_TEST	01 1D 20 01 <mark>XX</mark>	Set EUT in direct RX mode
HCI_LE_TEST_END	01 1F 20 00	End current test and be ready for next one



## **RF** Testing Commands (continued)

• For Apollo2-Blue, RF testing commands to be used in regulatory tests are defined as follows:

Packaged HCI Commands	SSCOM Strings	Description
HCI_RESET	01 03 0C 00	Reset EUT before testing
HCI_EM_9304_TRANSMIT	01 11 fc 04 01 XX 25 00	'01': Set EUT in continuous modulation transmit mode with duty cycle = 100%
TER_TEST	01 11 fc 04 04 XX 25 00	'04': Set EUT in continuous carrier wave transmit mode at center frequency
HCI_LE_RECEIVER_TEST	01 1D 20 01 XX	Set EUT in direct RX mode
HCI_LE_TEST_END	01 1F 20 00	End current test and be ready for next one

- Note:
  - The frequency tolerance test item in SRRC requires to configure EUT in carrier wave transmit mode.
  - The receiver spurious emission and receiver blocking test items in SRRC and CE require to configure EUT in direct receiver mode.
  - All other test items are performed in continuous transmit mode (PRBS9, 100% duty cycle) of EUT.



#### **SRRC Requirements**

No.	Test Items	Requirement	Channel for Testing	EUT Status
1	Peak Output Power	EIRP ≤ 20 dBm	Low/Mid/High	Continuous transmit mode
2	Frequency Tolerance	±20 ppm	Low/Mid/High	Carrier wave transmit mode
3	Out-of-band Emissions (Band Edge)	EIRP≤-80 dBm/Hz out of 2.4-2.4835 GHz band	Low/High	Continuous transmit mode
4	Spurious emissions of transmitter	See table on next page	Low/Mid/High	Continuous transmit mode
5	Spurious emissions of receiver	Same as above	Low/Mid/High	Receiver mode

- Note:
  - The frequency tolerance mainly depends on the frequency accuracy of external HF crystal (32 or 48 MHz), so be careful of crystal selection.



### **Limitation of Spurious Emission**

Frequency Range	Measurement BW	Detector	Limit
30 - 1000 MHz	100 kHz	Peak	-36 dBm
2.4 – 2.4835 GHz	100 kHz	Peak	-33 dBm
3.4 - 3.53 GHz	1 MHz	Peak	-40 dBm
5.725 - 5.85 GHz	1 MHz	Peak	-40 dBm
Others within 1 - 12.75GHz	1MHz	Peak	-30 dBm

#### ✓ Note:

- The frequency range should be set outside 2.5 times channel bandwidth of the center frequency to be tested.
  - e.g., when measuring at 2402MHz (lowest channel), the lower stop frequency should be set to 2397MHz and the upper start frequency should be set to 2407MHz.
  - However, when measuring at 2480MHz (highest channel), the upper start frequency should be set to 2483.5MHz.



#### **FCC Requirements**

No.	Test Items	Requirement	Channel for Testing	EUT Status
1	Output Power	EIRP ≤ 30 dBm	Low/Mid/High	Continuous transmit mode
2	Occupied Bandwidth	6 dB Bandwidth ≥ 500 kHz	Low/Mid/High	Continuous transmit mode
3	Conducted Spurious Emission	-20 dBc (Peak) -30 dBc (Average)	Low/Mid/High	Continuous transmit mode
4	Band Edge	-20 dBc relative to desired power	Low/High	Continuous transmit mode
5	Power Spectral Density	≤ 8 dBm/3kHz	Low/Mid/High	Continuous transmit mode
6	Radiated Spurious Emission	See table on next page	Low/Mid/High	Continuous transmit mode



#### **Limitation of Radiated Emission**

Frequency Range	RBW	Detector	Distance	Field Strength	Calculated EIRP
30 – 88 MHz		Quasi-Peak 3 m		40 dBuV/m	-55 dBm
88 – 216 MHz	100 605		3 m	43.5 dBuV/m	-52 dBm
216 – 960 MHz	100 kHz			46 dBuV/m	-49 dBm
960 – 1000 MHz				54 dBuV/m	-41 dBm
1 – 18 GHz	1MHz	Average		54 dBuV/m	-41 dBm

- Note:
- The relationship between field strength [dBuV/m] and EIRP [dBm]:
  - EIRP = E + 20log d –104.8 = Conducted Power + Antenna Gain
- where
  - EIRP is the equivalent isotropically radiated power, in dBm
  - E is the field strength of the emission at the measurement distance, in  $dB\mu V/m$
  - d is the measurement distance, in m



#### **CE Requirements**

No.	Test Items	Requirement	Channel for Testing	EUT Status	
1	RF Output Power	EIRP ≤ 20 dBm	Low/Mid/High	Continuous transmit mode	
2	Power Spectral Density	≤ 10 dBm/MHz	Low/Mid/High	Continuous transmit mode	
2	Occupied Channel Bandwidth (similar as band edge)	99% power BW fall within 2.4 – 2.4835 GHz completely	Low/High	Continuous transmit mode	
3	Unwanted Emissions in out-of-band domain	-10 dBc at 2399 or 2484.5 MHz -20 dBc at 2398 or 2485.5 MHz	Low/High	Continuous transmit mode	
4	Unwanted Emissions in spurious domain		Low/High	Continuous transmit mode	
5	Receiver Spurious Emissions	See table on next two pages	Low/High	Receiver mode	
6	Receiver Blocking		Low/High	Receiver mode	



#### **Transmitter Spurious Emissions**

Frequency Range	Maximum Power (dBm)	Measurement BW	Detector
30 – 47 MHz	-36		
47 – 74 MHz	-54		
74 – 87.5 MHz	-36		
87.5 – 118 MHz	-54		
118 – 174 MHz	-36	100 kHz	Deek
174 – 230 MHz	-54		Peak
230 – 470 MHz	-36		
470 – 862 MHz	-54		
862 – 1000 MHz	2 – 1000 MHz -36		
1 – 12.75 GHz	-30	1MHz	



#### **Receiver Measurement**

Receiver Spurious Emissions

Frequency Range	Emission Limits (dBm)	Measurement BW	Detector
30 – 1000 MHz	-57	100 kHz	Deel
1 – 12.75 GHz	-47	1 MHz	Peak

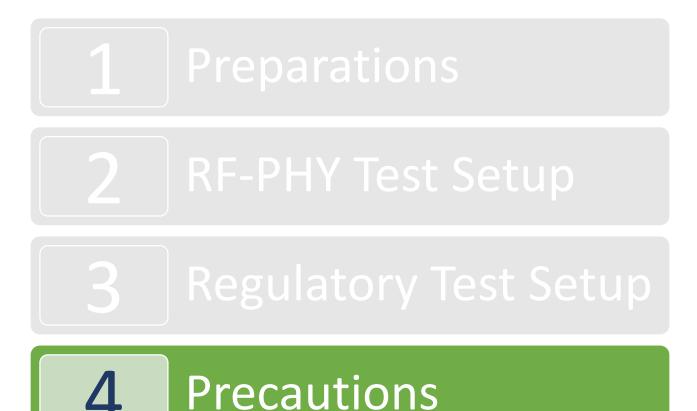
• Receiver Blocking Parameters (2 vector signal generators required)

Wanted signal mean power (dBm)	Blocking signal frequency (MHz)	Blocking signal power (dBm)	PER Limit	Blocking signal type	
Drain L C dD	2380	<b>F7</b>			
Pmin + 6 dB	2503.5	-57	1.00/	Continuous Wave	
Pmin + 6 dB	2300	-47	10%		
PIIIII + 0 UB	2583.5	-4/			

• Note: Pmin is the minimum level of the wanted signal power (in dBm) at PER less than or equal to 10%.



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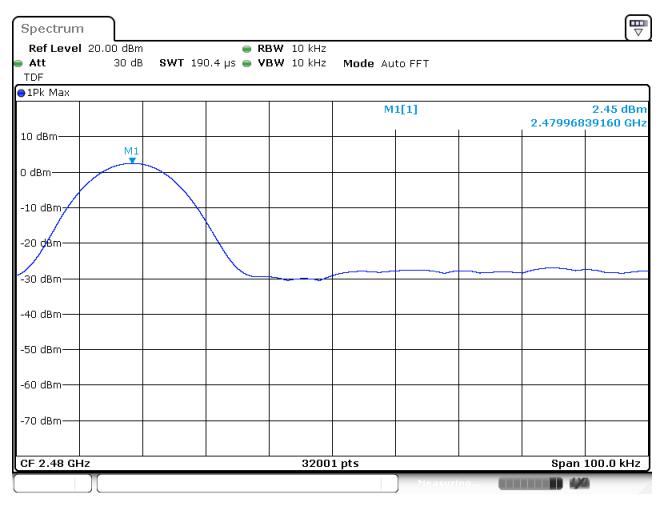


#### **Precautions**

- Proper impedance matching between chip and antenna is necessary for decreasing mismatch loss.
- If possible, shield box is recommended for placing EUT to eliminate uncertain coupling interference.
- The conducted RF path loss between EUT and tester must be set appropriately to acquire more meaningful data.
- All RF measurement instruments shall be calibrated every 1 year or less to guarantee testing accuracy.



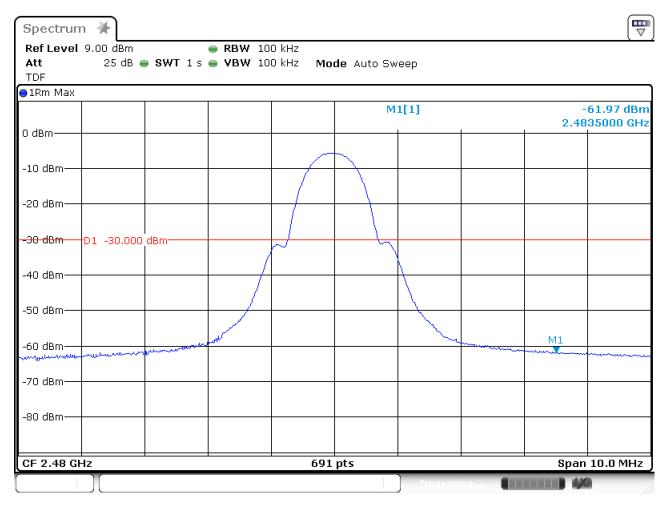
#### **Example-CW Frequency Tolerance**



Date: 13.JUL.2018 15:17:05



#### **Example-Band Edge Emission**



Date: 13.JUL.2018 15:14:30



#### **Example-Spurious Emissions**

Start Frequency (MHz)	Stop Frequency (MHz)	RBW (MHz)	Detector	Frequency (MHz)	Power (dBm)	Limit (dBm)	Verdict	Sweep Point
30	1000	0.1	Peak	953.609	-64.56	-36	Pass	691
1000	2400	1	Peak	2400	-56.42	-30	Pass	691
2400	2435	0.1	Peak	2408.217	-58.36	-33	Pass	691
2445	2483.5	0.1	Peak	2445.112	-58.73	-33	Pass	691
2483.5	3400	1	Peak	3389.374	-54.69	-30	Pass	691
3400	3530	1	Peak	3408.478	-61.8	-40	Pass	691
3530	5725	1	Peak	4881.993	-48.36	-30	Pass	691
5725	5850	1	Peak	5811.775	-58.73	-40	Pass	691
5850	12750	1	Peak	6974.7	-50.27	-30	Pass	4001
-25-								
-30 - -35 -								
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-55 -		NUMBER OF	une halilings fi			w Mariaka		
-60 -						- the state of		
-70 - 30 1000	0 2000 3000	4000	5000 60	00 7000 80 iency(MHz)	00 9000	10000	11000 12	2000 12750





# The End

#### Thanks and best regards.